

# The Formal System $\lambda\delta$ and the “Three Problems”

Ferruccio Guidi

University of Bologna, Italy

[ferruccio.guidi@unibo.it](mailto:ferruccio.guidi@unibo.it)

June 19, 2014

# 1. Overview

- $\lambda\delta$  is a typed  $\lambda$ -calculus inspired by  $\Lambda_\infty$  (van Benthem Jutting, 1984).
- $\lambda\delta$  is intended to underlie foundations of Mathematics requiring a theory of expressions, e.g. MTT (Maietti, 2009) and its predecessors.
- $\lambda\delta$  is developed as a machine-checked digital specification, that isn't the formal counterpart of some previously published informal material.
- $\lambda\delta$  comes in two versions so far: (1) formalized in Coq 7, published in 2008; (2) formalized in Matita (current version), under development.
- Following Automath, the "three problems" are: confluence (Church-Rosser), strong normalization, and preservation (subject reduction).
- The "problems" are solved for  $\lambda\delta$  version 1; our aim is to discuss these "problems" for  $\lambda\delta$  version 2, where more terms are typable.
- The "problems" are solved for  $\Lambda_\infty$  as well, but  $\lambda\delta$  is more complex!

## 2. Terms and redexes

- **Terms:**  $\star i$  (sort),  $\#i$  (reference),  $\lambda W.T$  (typed abstraction),  $\delta W.T$  (abbreviation),  $@V.T$  (application), and  $\textcircled{c}V.T$  (type annotation).
- By  $@V.T$  we mean “ $(T \ V)$ ” and by  $\textcircled{c}V.T$  we mean “ $(T : V)$ ”.  
By  $\delta W.T$  we mean “let  $\#0$  be  $W$  in  $T$ ” (explicit/delayed substitution).
- Sorts are numbered from 0, References are by index (starting at 0),
- $d\uparrow^e$  is the term relocating function (lift of depth  $d$  and height  $e$ ).
- **Envs:**  $\star$  (empty),  $L.\lambda W$  (typed declaration), and  $L.\delta W$  (definition).
- $d\downarrow^e$  is the env slicing function (drop of depth  $d$  and height  $e$ ).  
 $d\downarrow^e L$  removes  $e$  entries after the first  $d$  entries of  $L$ , relocating them.
- **Redexes:**  $@V.\lambda W.T \xrightarrow{\beta} \delta(\textcircled{c}W.V).T$  •  $\delta_0\uparrow^1 V.T \xrightarrow{\zeta} T$  •  $\textcircled{c}V.T \xrightarrow{\epsilon} T$   
 $@V.\delta W.T \xrightarrow{\theta} \delta W.@(0\uparrow^1 V).T$  •  $L \vdash \#i \xrightarrow{\delta} (0\uparrow^{i+1} W)$  if  $0\downarrow^i L = K.\delta W$
- In  $\lambda\delta$  version 1, the  $\beta$ -reductum is simpler:  $@V.\lambda W.T \xrightarrow{\beta} \delta V.T$ .

### 3. Context Sensitive Reduction

- As opposed to  $\lambda\delta$  version 1, we start from  $L \vdash T_1 \Rightarrow T_2$  meaning that  $T_1$  produces  $T_2$  by one step of parallel reduction in the env  $L$ .

$$\frac{L \vdash W_1 \Rightarrow W_2 \quad L.\delta/\lambda W_1 \vdash T_1 \Rightarrow T_2}{L \vdash \delta/\lambda W_1.T_1 \Rightarrow \delta/\lambda W_2.T_2} \quad \frac{{}_0\downarrow^i L = K.\delta W_1 \quad K \vdash W_1 \Rightarrow W_2}{L \vdash \#i \Rightarrow {}_0\uparrow^{i+1} W_2} \delta$$

- The env allows full parallelism in  $\delta$  since each  $\#i$  can be expanded with a different reduct of  $W_1$ . Compare with the “envless” version:

$$\frac{W_1 \Rightarrow W_2 \quad T_1 \Rightarrow T_2}{\delta W_1.T_1 \Rightarrow \delta W_2.[W_2/\#0]T_2} \delta \text{ with substitution } (\lambda\delta \text{ version 1})$$

- With this approach, reduction correctly commutes with subclosure.

$$\frac{}{L \vdash \star/\#i \Rightarrow \star/\#i} \quad \frac{L \vdash V_1 \Rightarrow V_2 \quad L \vdash T_1 \Rightarrow T_2}{L \vdash \textcircled{C}/@V_1.T_1 \Rightarrow \textcircled{C}/@V_2.T_2} \quad \frac{L \vdash T_1 \Rightarrow T_2}{L \vdash \delta W_0.\uparrow^1 T_1 \Rightarrow T_2} \zeta \quad \frac{L \vdash T_1 \Rightarrow T_2}{L \vdash \textcircled{C}V.T_1 \Rightarrow T_2} \epsilon$$

$$\frac{L \vdash V_1/W_1 \Rightarrow V_2/W_2 \quad L.\lambda W_1 \vdash T_1 \Rightarrow T_2}{L \vdash \textcircled{C}V_1.\lambda W_1.T_1 \Rightarrow \delta(\textcircled{C}W_2.V_2).T_2} \beta \quad \frac{L \vdash V_1/W_1 \Rightarrow V_2/W_2 \quad L.\delta W_1 \vdash T_1 \Rightarrow T_2}{L \vdash \textcircled{C}V_1.\delta W_1.T_1 \Rightarrow \delta W_2.\textcircled{C}({}_0\uparrow^1 V_2).T_2} \theta$$

## 4. Pointwise Reduction, Confluence, Refinement

- Reduction on terms induces a reduction on envs by which entries are reduced in parallel. One step is denoted by:  $L_1 \Rightarrow L_2$

$$\frac{}{\star \Rightarrow \star} \quad \frac{K_1 \Rightarrow K_2 \quad K_1 \vdash W_1 \Rightarrow W_2}{K_1.\delta/\lambda W_1 \Rightarrow K_2.\delta/\lambda W_2}$$

- Confluence of reduction is easily achieved via “strip” lemma and “diamond” property. This must be proved in the general form:

$$\frac{L_0 \vdash T_0 \Rightarrow T_1 \quad L_0 \vdash T_0 \Rightarrow T_2 \quad L_0 \Rightarrow L_1 \quad L_0 \Rightarrow L_2}{\exists T. L_1 \vdash T_1 \Rightarrow T \quad L_2 \vdash T_2 \Rightarrow T} \text{diamond}$$

- The proof is by induction on the subclosures of  $\langle L_0, T_0 \rangle$  (next slide).
- In the  $\beta$ -cases, we must know that reduction is respected by the “refinement”:  $L_1 \dot{\subseteq} L_2$  and  $L_2 \vdash T_1 \Rightarrow T_2$  yields  $L_1 \vdash T_1 \Rightarrow T_2$ .

$$\frac{}{L \dot{\subseteq} \star} \quad \frac{K_1 \dot{\subseteq} K_2}{K_1.\delta/\lambda W \dot{\subseteq} K_2.\delta/\lambda W} \quad \frac{K_1 \dot{\subseteq} K_2}{K_1.\delta(\odot W.V) \dot{\subseteq} K_2.\lambda W}$$

## 5. The Order on Subclosures

- When proof by structural induction fails, proof by induction on the relation “being a subterm” provides for a good alternative in general.
- In  $\lambda\delta$  version 2 we need the stronger relation of “being a subclosure”.

One step of this relation is denoted by  $\langle L_1, T_1 \rangle \sqsupset \langle L_2, T_2 \rangle$ :

1.  $\langle L, \delta/\lambda/\textcircled{C}/\textcircled{@}V.T \rangle \sqsupset \langle L, V \rangle \bullet \langle L, \textcircled{C}/\textcircled{@}V.T \rangle \sqsupset \langle L, T \rangle \bullet \langle L, \delta/\lambda W.T \rangle \sqsupset \langle L.\delta/\lambda W, T \rangle$
2.  $\langle K.\delta/\lambda W, \#0 \rangle \sqsupset \langle K, W \rangle \bullet \langle L, {}_0\uparrow^{e+1} T \rangle \sqsupset \langle {}_0\downarrow^{e+1} L, T \rangle$  (depth 0 is crucial here)

- Reduction and the order on subclosures commute as follows.

The pointwise reduction is needed when  $L_1 = K.\lambda V_1$  and  $T_1 = \#0$ .

$$\frac{\langle L_1, T_1 \rangle \sqsupset \langle K, V_1 \rangle \quad K \vdash V_1 \Rightarrow V_2}{\exists L_2, T_2. \quad L_1 \Rightarrow L_2 \quad L_1/L_2 \vdash T_1 \Rightarrow T_2 \quad \langle L_2, T_2 \rangle \sqsupset \langle K, V_2 \rangle}$$

- Pointwise reduction and the order on subclosures commute as follows.

$$\frac{\langle L_1, T_1 \rangle \sqsupset \langle K_1, V \rangle \quad K_1 \Rightarrow K_2}{\exists L_2, T_2. \quad L_1 \Rightarrow L_2 \quad L_1 \vdash T_1 \Rightarrow T_2 \quad \langle L_2, T_2 \rangle \sqsupset \langle K_2, V \rangle}$$

## 6. Typing

- In  $\lambda\delta$  version 1, we start from  $L \vdash T :_h U$  meaning that  $U$  is a type of  $T$  in the env  $L$  for the “sort hierarchy”  $h$ : a parameter of the calculus.
- $h : \mathbb{N} \rightarrow \mathbb{N}$  satisfies the “strict monotonicity” condition:  $i < h(i)$ .
- The rules for the type judgment are: (note the generic term  $V$  in place of a sort in 2 and 3, note the  $\lambda$ -typing in 3)

$$\frac{}{K \vdash \star i :_h \star h(i)} \quad 1 \quad \frac{0 \downarrow^i L = K.\delta/\lambda W \quad K \vdash W :_h V}{L \vdash \#i :_h 0 \uparrow^{i+1} (V/W)} \quad 2 \quad \frac{K \vdash W :_h V \quad K.\delta/\lambda W \vdash T :_h U}{K \vdash \delta/\lambda W.T :_h \delta/\lambda W.U} \quad 3$$

$$\frac{L \vdash T :_h U}{L \vdash \odot U.T :_h U} \quad 4 \quad \frac{L \vdash T :_h U_1 \quad L \vdash U_1 \Leftrightarrow^* U_2 \quad L \vdash U_2 :_h T_2}{L \vdash T :_h U_2} \quad 5$$

- The rule for application is too weak, in  $\lambda\delta$  version 2 we want 6 and 7:

$$\frac{L \vdash V :_h W \quad L \vdash T :_h \lambda W.U}{L \vdash @V.T :_h @V.\lambda W.U} \quad \text{PTS-style } (\lambda\delta \text{ version 1})$$

$$\frac{L \vdash V :_h W \quad L \vdash \lambda W.T :_h \lambda W.U}{L \vdash @V.\lambda W.T :_h @V.\lambda W.U} \quad 6 \quad \frac{L \vdash T :_h U \quad L \vdash @V.U :_h W}{L \vdash @V.T :_h @V.U} \quad 7$$

## 7. Meaning of $\lambda$ -Typing and @-Typing

- Let  $L \vdash T :_h U :_h S$  be  $L \vdash T :_h U$  and  $L \vdash U :_h S$ . By  $\lambda$ -typing (3)  $L.\lambda W \vdash T :_h U :_h S$  implies  $L \vdash \lambda W.T :_h \lambda W.U :_h \lambda W.S$ .
- $\lambda W.T$  is a **function**,  $\lambda W.U$  is **function space**,  $\lambda W.S$  is a **collection of function spaces** with common domain  $W$  and codomains in  $S$ .
- The implicit function is legal:  $L \vdash \#i :_h \lambda W.U :_h \lambda W.S$  since by the “start” rule (2), the kind (i.e. type of type) of  $\#i$  may differ from a sort.
- The function (implicit or not) may receive an argument (even by the PTS-style “application” rule):  $L \vdash @V.\#i :_h @V.\lambda W.U :_h @V.\lambda W.S$ .
- The implicit space is legal:  $L \vdash \#i :_h \#j :_h \lambda W.S$  and the function may be applied by **@-typing (7)**:  $L \vdash @V.\#i :_h @V.\#j :_h @V.\lambda W.S$ .
- If we reject @-typing, we can still  $\eta$ -expand the function space  $\#j$ :  $L \vdash \#i :_h \lambda W.@(\#0).\#(j + 1) :_h \lambda W.S$  (PTS:  $\eta$ -conversion with  $\Pi$ ).



## 8. Preservation Analyzed

- The “preservation of type” (subject reduction) is stated as follows:

$L \vdash T_1 :_h U$  and  $L \vdash T_1 \Rightarrow T_2$  yield  $L \vdash T_2 :_h U$ .

- Usual proof: by induction on  $L \vdash T_1 \Rightarrow T_2$  inverting  $L \vdash T_1 :_h U$ .

The inversion lemma for @ involves the “iterated type” judgment:

$$\frac{L \vdash @V.T :_h X}{\exists W, Y, U. \quad L \vdash V :_h W \quad L \vdash T :_h Y \quad \lambda W.U \quad L \vdash @V.Y \Leftrightarrow^* X} \text{ inversion for @}$$

- Type is modulo conversion: a conversion (e.g. a multistep reduction) is allowed at each step of the type chain  $L \vdash Y :_h \dots :_h \lambda W.U$ .
- So a **mutual recursion** emerges between single step preservation and multiple step preservation at a higher level in the type hierarchy.
- Unfortunately this recursion involves other participants as well.
- We also need **simultaneous induction** on **four axes**: subclosures, computation’s length (terms/envs), degree (level in the type hierarchy).

## 9. Preservation Analyzed Farther

- Proving preservation splits in two: (1) which are the participants to the mutual recursion? (2) is the simultaneous induction well founded?
- (1) was solved in 5 months. (2) was solved two days ago after 15 months. In the literature (2) is the “big tree” theorem (solved for  $\Lambda_\infty$ ).
- The “big tree” of a closure  $\langle L_1, T_1 \rangle$  comprises the closures  $\langle L_2, T_2 \rangle$  reachable from  $\langle L_1, T_1 \rangle$  following the four axes in any way.
- Subclosures are finite, as well as finite-length computations on terms. However, we can avoid the use of length by observing the following:
  - $\langle L_1, T_1 \rangle$  is typed so the computations from  $T_1$  are finite (strong normalization holds). Therefore we use the axis of reducts instead.
  - Contrary to CIC and MTT, the pointwise computations from  $L_1$  (n.a. in  $\Lambda_\infty$ ) are finite only for the entries of  $L_1$  referred by  $T_1$ . See Rule (2).

## 10. Static Type Assignment

- **Important:** if  $L \vdash T :_h U$  then there exists  $U_0$  such that  $L \vdash T :_h U_0$  is proved without the “conversion” Rule (5) (solved for version 1).
- $U_0$  is the “canonical” or “static” type of  $T$ . This property holds in a PTS with delayed  $\Pi$ -reduction (Kamareddine, Bloo, Nederpelt, 1999).
- The static type assignment  $L \vdash T \bullet_h U$  is defined by:

$$\frac{}{L \vdash \star i \bullet_h \star h(i)} \quad \frac{L \vdash T \bullet_h U}{L \vdash @V.T \bullet_h @V.U} \quad \frac{L \vdash T \bullet_h U}{L \vdash \textcircled{V}.T \bullet_h U}$$

$$\frac{{}_0\downarrow^i L = K.\delta/\lambda W \quad K \vdash W \bullet_h V}{L \vdash \#i \bullet_h {}_0\uparrow^{i+1} V/W} \quad \frac{L.\delta/\lambda W \vdash T \bullet_h U}{L \vdash \delta/\lambda W.T \bullet_h \delta/\lambda W.U}$$

- $\langle L, T \rangle$  has a static type iff the head variable reference of  $T$  is hereditarily bound in  $L$ . An equivalent condition is on the next slide.

## 11. Degree Assignment

- Contrary to  $\Lambda_\infty$ , by Rule (1),  $\lambda\delta$  has infinite type levels. The degree must be assigned in a parametric reference system, termed  $g$  hereafter.

- $g : \mathbb{N} \rightarrow \mathbb{N}$  sets the degree of sorts. It satisfies the “compatibility” condition:  $g(h(i)) = g(i) - 1$ . It is formalized as functional relation.

- The rules for assigning degree  $l$  to  $\langle L, T \rangle$  (write  $L \vdash T \blacksquare_{h,g} l$ ) are:

$$\frac{g(i) = l}{L \vdash \star i \blacksquare_{h,g} l} \quad \frac{0 \downarrow^i L = K.\delta/\lambda W \quad K \vdash W \blacksquare_{h,g} l}{L \vdash \#i \blacksquare_{h,g} l/(l+1)} \quad \frac{L.\delta/\lambda W \vdash T \blacksquare_{h,g} l}{L \vdash \delta/\lambda W.T \blacksquare_{h,g} l} \quad \frac{L \vdash T \blacksquare_{h,g} l}{L \vdash \textcircled{C}/\textcircled{A}V.T \blacksquare_{h,g} l}$$

- Given  $L \vdash T \bullet_h U$  with  $L \vdash T \blacksquare_{h,g} l > 0$ , the transition from  $\langle L, T \rangle$  to  $\langle L, U \rangle$  is a “step” along the axis of “static typing”.

- $\langle L, T \rangle$  has a degree for some  $g$  iff it has a static type for that  $g$ .

- If  $L \vdash T \bullet_h U$  and  $L \vdash T \blacksquare_{h,g} l$ , then  $L \vdash U \blacksquare_{h,g} (l - 1)$ .

## 12. Stratified Validity

- Type is defined modulo conversion: preservation is more difficult.
- Validity (having or being a type) is not: preservation is less difficult.
- How are these linked?  $T$  is valid when it has a type, vice versa the types or a valid  $T$  are the valid  $U$ 's convertible to the static type of  $T$ .
- Stratified validity of  $\langle L, T \rangle$  (write  $L \vdash T !_{h,g}$ ) is defined as follows:

$$\frac{}{L \vdash \star i !_{h,g}} \quad \frac{0 \downarrow^i L = K.\delta/\lambda W \quad K \vdash W !_{h,g}}{L \vdash \#i !_{h,g}} \quad \frac{L \vdash W !_{h,g} \quad L.\delta/\lambda W \vdash T !_{h,g}}{L \vdash \delta/\lambda W.T !_{h,g}}$$

$$\frac{L \vdash V !_{h,g} \quad L \vdash T !_{h,g} \quad L \vdash T \blacksquare_{h,g} (l+1) \quad L \vdash T \bullet_h U \quad L \vdash U \Leftrightarrow^* V}{L \vdash \textcircled{C}V.T !_{h,g}}$$

$$\frac{L \vdash V !_{h,g}, L \vdash T !_{h,g}, L \vdash V \blacksquare_{h,g} (l+1), L \vdash V \bullet_h W, L \vdash W \Rightarrow^* W_0, L \vdash T \bullet^* \Rightarrow_{h,g}^* \lambda W_0.U}{L \vdash \textcircled{A}V.T !_{h,g}}$$

- Decomposed extended computation (write  $L \vdash T_1 \bullet^* \Rightarrow_{h,g}^* T_2$ ) is:  
 $\exists T, l_1, l_2. \quad l_2 \leq l_1, L \vdash T_1 \blacksquare_{h,g} l_1, L \vdash T_1 \bullet_h^{*(l_2)} T, L \vdash T \Rightarrow^* T_2.$

## 13. The Mutual Recursion

- Preservation of validity needs a mutual recursion with 4 participants:

1  $L_1 \vdash T_1 !_{h,g}$  and  $L_1 \vdash T_1 \Rightarrow T_2$  and  $L_1 \Rightarrow L_2$  implies  $L_2 \vdash T_2 !_{h,g}$ ;

2  $L_1 \vdash T_1 !_{h,g}$  and  $L_1 \vdash T_1 \blacksquare_{h,g} l$  and  $L_1 \vdash T_1 \Rightarrow T_2$  and  $L_1 \Rightarrow L_2$  implies  $L_2 \vdash T_2 \blacksquare_{h,g} l$ ;

3  $L \vdash T_1 !_{h,g}$  and  $l_2 \leq l_1$  and  $L \vdash T_1 \blacksquare_{h,g} l_1$  and  $L \vdash T_1 \bullet_h^{*(l_2)} T_2$  implies  $L \vdash T_2 !_{h,g}$ ;

4 if  $L_1 \vdash T_1 !_{h,g}$  and  $l_2 \leq l_1$  and  $L_1 \vdash T_1 \blacksquare_{h,g} l_1$  and  $L_1 \vdash T_1 \bullet_h^{*(l_2)} U_1$  and  $L_1 \vdash T_1 \Rightarrow T_2$  and  $L_1 \Rightarrow L_2$ , then there exists  $U_2$  such that  $L_2 \vdash T_2 \bullet_h^{*(l_2)} U_2$  and  $L_2 \vdash U_1 \Leftrightarrow^* U_2$ .

- Every Participant depends on all participants (including itself), except for Participant 2 that does not depend on Participant 4.

- Suitable “refinements” appear in the  $\beta$ -cases of Participants 1, 2, 4.

- Given the characterization of typing through validity (previous slide), preservation of type follows immediately from Participants 1 and 4.

- Important properties not depending on the mutual recursion:

valid terms have a static type and are strongly normalizing.

## 14. The Simultaneous Induction: Normal Forms

- Axis of subclosures:  $\langle L, T \rangle$  is in n.f. when  $L$  and  $T$  are atomic.
- Axis of static types:  $\langle L, T \rangle$  is in normal form when  $L \vdash T \blacksquare_{h,g} 0$ .
- Axis of term reducts:  $\langle L, T_1 \rangle$  is in normal form when  $L \vdash T_1 \Rightarrow T_2$  implies  $T_1 = T_2$ . Reduction steps are too short for single-step cycles.
- Axis of env reducts:  $\langle L_1, T \rangle$  is in normal form when  $L_1 \Rightarrow L_2$  implies  $L_1 = L_2$  considering just the entries referred by  $T$ .
- To this end we introduce lazy equivalence (write  $L_1 \equiv_U^d L_2$ ):
  - $L_1 \equiv_U^d L_2$  iff  $|L_1| = |L_2|$  and for every  $K_1, K_2, W_1, W_2, i$ ,  $d \leq i$  and  $\forall T. i \uparrow^1 T \neq U$  and  $0 \downarrow^i L_1 = K_1.\delta/\lambda W_1$  and  $0 \downarrow^i L_2 = K_2.\delta/\lambda W_2$  imply  $W_1 = W_2$  and  $K_1 \equiv_{W_1} K_2$ .
- $|L|$  counts the entries of  $L$  and the “depth”  $d$  allows to prove:
  - $L_1 \equiv_W^d L_2$  and  $L_1.\delta/\lambda W \equiv_T^{(d+1)} L_2.\delta/\lambda W$  imply  $L_1 \equiv_{\delta/\lambda W.U}^d L_2$ .
- So, last axis:  $\langle L_1, T \rangle$  is in n.f. when  $L_1 \Rightarrow L_2$  implies  $L_1 \equiv_T^0 L_2$ .

## 15. The Simultaneous Induction: Extension

- **Leading idea:** if we could rearrange a computation  $t$  in the “big tree” grouping the steps along each axis, we could prove that  $t$  is finite.
- **Unfortunately:** static type assignment commutes neither with reduction (participant 4), nor with the order on subclosures.
- Reduction and static type assignment are not separable in “big trees”. We generalize both by extending reduction with “type inference” steps.
- **Extended redexes:**  $L \vdash \star i \xrightarrow{s} \star h(i)$  if  $g(i) > 0$  •  $\textcircled{c} V.T \xrightarrow{t} V$   
 $L \vdash \#i \xrightarrow{l} ({}_0\uparrow^{i+1} W)$  if  ${}_0\downarrow^i L = K.\lambda W$  (the “ $\delta$ -redex for  $\lambda$ ”).
- Hereafter the relations  $L \vdash T_1 \Rightarrow_{h,g} T_2$  and  $L_1 \Rightarrow_{h,g} L_2$  denote one step of extended reduction on terms and environments respectively.
- **No single-step cycles:** unchanged halting conditions on these axes.
- **No confluence in general ( $\epsilon$ -step vs.  $t$ -step).** May hold on valid terms.



## 16. The Simultaneous Induction: Decomposition

- Note: we can prove that  $L \vdash T_1 \bullet^* \Rightarrow_{h,g}^* T_2$  implies  $L \vdash T_1 \Rightarrow_{h,g}^* T_2$ .
- Extended reduction, subclosures, and lazy equivalence commute thus:

$$\frac{\langle L, T_1 \rangle \sqsupset \langle K, V_1 \rangle; K \vdash V_1 \Rightarrow_{h,g} V_2}{\exists T_2. L \vdash T_1 \Rightarrow_{h,g} T_2; \langle L, T_2 \rangle \sqsupset \langle K, V_2 \rangle} (A) \quad \frac{L_1 \Rightarrow_{h,g} L_2; L_2 \vdash T_1 \Rightarrow_{h,g} T_2}{L_1 \vdash T_1 \Rightarrow_{h,g}^* T_2} (B)$$

$$\frac{L_1 \ 0 \equiv_{T_1} L_2; L_2 \vdash T_1 \Rightarrow_{h,g} T_2}{L_1 \vdash T_1 \Rightarrow_{h,g} T_2} (C) \quad \frac{L_1 \Rightarrow_{h,g} L_2; \langle L_2, T_2 \rangle \sqsupset \langle K_2, V \rangle}{\exists K_1, T. L_1 \vdash T_2 \Rightarrow_{h,g} T; \langle L_1, T \rangle \sqsupset \langle K_1, V \rangle; K_1 \Rightarrow_{h,g} K_2} (D)$$

$$\frac{L_1 \ 0 \equiv_T L_2; \langle L_2, T \rangle \sqsupset \langle K_2, V \rangle}{\exists K_1. \langle L_1, T \rangle \sqsupset \langle K_1, V \rangle; K_1 \ 0 \equiv_V K_2} (E) \quad \frac{L_1 \ d \equiv_T L_2; L_2 \Rightarrow_{h,g} K_2}{\exists K_1. L_1 \Rightarrow_{h,g} K_1; K_1 \ d \equiv_T K_2} (F)$$

- Rule (A) shows the gain of extended reduction over ordinary one (compare with slide 5). We did not try Rule (D) for ordinary reduction.
- The proof of rule (F) is hard and requires a dedicated apparatus.
- Given a computation  $t$  from  $\langle L_1, T_1 \rangle$  to  $\langle L_2, T_2 \rangle$ , there are  $L_0, L, T$  s.t.  $L_1 \vdash T_1 \Rightarrow_{h,g}^* T; \langle L_1, T \rangle \sqsupset^* \langle L, T_2 \rangle; L \Rightarrow_{h,g}^* L_0; L_0 \ 0 \equiv_{T_2} L_2$

## 17. Atomic Arity Assignment

- Strong normalization must be proved for extended reduction. We can adapt the proof working in  $\lambda\delta$  version 1 for ordinary reduction.
- We use Tait’s candidates of reducibility (CR) containing closures.
- An “arity” encodes the structure of a CR: a “simple” type in this case.  $L \vdash T : A$  means that  $\langle L, T \rangle$  may belong to the CR with arity  $A$ .

$$\begin{array}{c}
 \frac{}{L \vdash \star i : \star} \quad \frac{{}_0\downarrow^i L = K.\delta/\lambda W \quad K \vdash W : B}{L \vdash \#i : B} \quad \frac{L \vdash W : B \quad L.\delta W \vdash T : A}{L \vdash \delta W.T : A} \\
 \\
 \frac{L \vdash W : B \quad L.\lambda W \vdash T : A}{L \vdash \lambda W.T : B \rightarrow A} \quad \frac{L \vdash V : B \quad L \vdash T : B \rightarrow A}{L \vdash @V.T : A} \quad \frac{L \vdash V : A \quad L \vdash T : A}{L \vdash \odot V.T : A}
 \end{array}$$

- Important:  $L \vdash T !_{h,g}$  implies  $L \vdash T : A$  for some  $A$ . Valid terms are simply typed (replacing type annotations with their arities).
- $L \vdash T : A$  implies  $L \vdash T \bullet_h U$  and  $L \vdash U : A$  for some  $U$ .

If we take an extended reduction step, we remain in the same CR.

## 18. Some Points on Strong Normalization

- Idea of the proof. Given  $L \vdash T : A$  we construct the CR  $\llbracket A \rrbracket_{h,g}$  by induction on  $A$ , and prove  $\langle L, T \rangle \in \llbracket A \rrbracket_{h,g}$  by induction on  $L \vdash T : A$ .
- The statement requires a suitable “refinement” relation to handle the  $\beta$ -cases, and a generalization of the relocating functions:  $d \uparrow^e$  and  $d \downarrow^e$ .
- A CR must satisfy saturation conditions S0 to S7. S1 is Girard’s CR1, S2 is Tait’s iii, S0 is  $\langle d \downarrow^e L, T \rangle \in \llbracket A \rrbracket_{h,g}$  implies  $\langle L, d \uparrow^e T \rangle \in \llbracket A \rrbracket_{h,g}$ .
- S3 (Tait’s ii) is:  $\langle L, @V_1 \dots @V_n. \delta(\odot W.V).T \rangle \in \llbracket A \rrbracket_{h,g}$  implies  $\langle L, @V_1 \dots @V_n. @V. \lambda W.T \rangle \in \llbracket A \rrbracket_{h,g}$ . Proving S3 for  $\llbracket \star \rrbracket_{h,g}$  requires:
  - $L \vdash @V. \lambda W.T \Rightarrow_{h,g}^* U$  (head) implies  $L \vdash \delta(\odot W.V).T \Rightarrow_{h,g}^* U$ .
 With extended reduction,  $T = \#0, U = {}_0 \uparrow^1 W$  is possible on the l.h.s.
  - To handle this case on the r.h.s, we need  $W$  in the  $\beta$ -reductum (contrary to  $\lambda\delta$  version 1), and we need the  $t$ -reduction step.

Thank you