

Adding Schematic Abstraction to λP

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February 28, 2018

1. Propositions as objects vs. propositions as types

- The encoding of logic into typed λ -calculus follows two paradigms: the so-called “propositions as objects” and “proposition as types”.

Level	Propositions as objects		Propositions as types
Kind	\star		universe ($o \equiv \star$)
Type	universe (o)	assertion ($A \text{ true}$)	proposition ($A \equiv A \text{ true}$)
Object	proposition (A)	derivation (π)	derivation (π)

- Systems pursuing “propositions as objects”: $\lambda \rightarrow$, AUT-68, LF, λP . Notice that *true* is a primitive function symbol of type: $o \rightarrow \star$.
- Systems pursuing “propositions as types”: AUT-QE, System F, CC. Easier: we build propositions with the framework’s type constructors.
- Nevertheless “propositions as types” requires stronger frameworks, *i.e.*, conjunction and disjunction have type $\star \rightarrow \star \rightarrow \star$ not in λP .

2. Predicative frameworks allowing propositions as types

- With “propositions as types” we need h.o. quantification of class (\square, \star) to represent logical rules with schematic propositional variables.
- $A, B \vdash A \wedge B$ becomes $\text{land}_i : (\forall A : \star)(\forall B : \star)(A \rightarrow B \rightarrow A \wedge B)$ and the quantification on B is of class (\square, \star) in λ -Cube terminology.
 1. PTS-style impredicative solution: λC .
Add triples $(\square, \square, \square)$ and (\square, \star, \star) to λP .
 2. PTS-style predicative solution: henceforth λT (very powerful).
Add triples $(\square, \square, \square)$ and $(\square, \star, \square)$ to λP .
 3. PTS-style predicative solution: $\lambda QE \approx \text{AUT-QE}$ (less powerful).
add triples $(\square, \square, \triangle)$, $(\square, \star, \triangle)$, $(\square, \triangle, \triangle)$, $(\star, \triangle, \triangle)$ to λP .
 4. Refined PTS-style predicative solution: refined $\lambda QE \approx \text{AUT-QE}$.
Add parameter pairs (\square, \square) , (\square, \star) , (\star, \square) , (\star, \star) to λP .

3. Discussion on the predicative frameworks

- System λT (solution 2) allows to write powerful constructions, *i.e.*, logical rules with schematic variables for connectives. Is this useful?
- The quantification $(\star, \square, \square)$ of λT can be seen both as internal and as schematic. Thus the former can precede the latter in constructions.
- Is it always the case that internal quantifications preceding schematic ones in constructions (rejected in λQE) can be thought as schematic?
- In λT and λQE instantiated assertions live in \star while assertions with h.o. schematic variables live in \square or \triangle , *i.e.*, at a different level.
- Reasonably, de Bruijn's unified binder $[x : \alpha]$ emerges as a device to accommodate schematic abstraction in Automath-related languages.
- The refined λ -Cube (solution 4) pursues the syntactic distinction between internal abstraction (Π, λ) and schematic abstraction (\P, \S) .

4. Introducing the system $\lambda\Upsilon\mathcal{P}$: a step towards $\lambda_\infty \oplus \lambda\mathcal{P}$

- Here we are proposing to develop a framework in which λ_∞ provides for the schematic abstraction while $\lambda\mathcal{P}$ provides for the internal one.
- In the perspective of the refined λ -Cube we are proposing mainly to unify \forall and \exists in $(\Upsilon x : \alpha)$, inspired by $[x : \alpha]$ (differing from Π and λ).
- In the ideal $\lambda_\infty \oplus \lambda\mathcal{P}$ the two subsystems are independent (contrary to λQE), so schematic and internal abstractions can be mixed in terms.
- By meeting the requirement of independence, we conjecture that our system can have a simple structure and uniform validity rules like $\lambda\mathcal{T}$.
- The ideal $\lambda_\infty \oplus \lambda\mathcal{P}$ supports constructions like schematic variables for connectives without the hybrid quantification $(\star, \square, \square)$ of $\lambda\mathcal{T}$.
- To start with, we are proposing here the system $\lambda\Upsilon\mathcal{P}$ that extends $\lambda\mathcal{P}$ with the h.o. schematic abstraction provided by the Υ quantifier.

5. Syntax and conversion in $\lambda\Upsilon\mathcal{P}$

- Our system has the **syntax of simplified LF** with three levels of terms (kinds K , families T and objects M) and one category for contexts L .

$$H, K ::= \star \mid (\Pi n : U).K \mid (\Upsilon u : H).K$$

$$T, U ::= u \mid (\Pi n : U).T \mid (\lambda n : U).T \mid (N).T \mid (\Upsilon u : H).T \mid (U).T$$

$$M, N ::= n \mid (\lambda n : U).M \mid (N).M \mid (\Upsilon u : H).M \mid (U).M$$

$$L ::= \circ \mid L.(n : U) \mid L.(u : H)$$

- We add a h.o abstraction $(\Upsilon u : H)$ for objects, families and kinds. We add the corresponding application (U) for objects and families.
- To the refined λ -Cube $(\Upsilon u : H).T$ is a \P and a \S at the same time.
- Moreover we pose that $(U).(\Upsilon u : H)$ is a β -redex giving rise to:

$$L \vdash (U).(\Upsilon u : H).M =_{\beta} [U/u].M \quad L \vdash (U).(\Upsilon u : H).T =_{\beta} [U/u].T$$

6. Validity in $\lambda\Upsilon\mathcal{P}$

- The judgments (LF): $\vdash L!$ (L is valid), $L \vdash K!$ (K is valid in L), $L \vdash T : K$ (T belongs to K in L), $L \vdash M : T$ (M belongs to T in L).
- Here we omit the validity rules concerning the LF fragment of $\lambda\Upsilon\mathcal{P}$.

$$\frac{L \vdash H! \quad L.(u : H) \vdash K!}{L \vdash (\Upsilon u : H).K!} \quad 1$$

$$\frac{L \vdash H! \quad L.(u : H) \vdash T : K}{L \vdash (\Upsilon u : H).T : (\Upsilon u : H).K} \quad 2$$

$$\frac{L \vdash H! \quad L.(u : H) \vdash M : T}{L \vdash (\Upsilon u : H).M : (\Upsilon u : H).T} \quad 3$$

$$\frac{L \vdash U : H \quad L \vdash T : (\Upsilon u : H).K}{L \vdash (U).T : [U/u].K} \quad 4$$

$$\frac{L \vdash U : H \quad L \vdash M : (\Upsilon u : H).T}{L \vdash (U).M : [U/u].T} \quad 5$$

$$\frac{L \vdash M : T_1 \quad L \vdash T_1 =_{\beta} T_2 \quad L \vdash T_2 : (\Upsilon u : H).K}{L \vdash M : T_2} \quad 6$$

$$\frac{L \vdash U : \star \quad L.(n : U) \vdash T : (\Upsilon u : H).K}{L \vdash (\Pi n : U).T : (\Upsilon u : H).K} \quad 7$$

- Rules 6 and 7 show that in a PTS for $\lambda\Upsilon\mathcal{P}$ there is a sort for each $(\Upsilon u : H).K$. Moreover $(\Upsilon u : H).T$ is a $(\Pi u : H).T$ with Π -reduction.
- In the perspective of λQE , we break the sort Δ in a system of sorts $\Delta_{H,K} : \square$, that are as many as the simple types from one base type.

7. Validity in $\lambda\Upsilon\mathcal{P}$ continued

- Note: $L \vdash T : \Delta_{H,K}$ gives more information on T than $L \vdash T : \Delta$.
- The “start” rules come from LF hence $L \vdash n : T$ implies $L \vdash T : \star$, Therefore n cannot take $(\Upsilon u : H).M$, which is not a first-class object.
- The ideal $\lambda_\infty \oplus \lambda\mathcal{P}$ must have a “start” rule to remove this limitation.
- Instead $L \vdash u : H$ implies $L \vdash H !$ therefore u can take $(\Upsilon u : H).T$.
- Interesting properties to prove for $\lambda\Upsilon\mathcal{P}$ include strong normalization of valid terms. Confluence and safety of reduction should be PTS-like.
- Strong normalization should be reducible to the one of $\lambda\delta\text{-2}$, *i.e.*, $\lambda\rightarrow$ -like, like strong normalization of $\lambda\mathcal{C}$ is reducible to the one of $\lambda\omega$.
- The ideal $\lambda_\infty \oplus \lambda\mathcal{P}$ must also include the f.o. schematic abstraction $(\Upsilon n : U)$ with which we enable the quantification (\star, Δ, Δ) of λQE .
- It is quite likely that we need to consider the kind $(\Upsilon n : U).K$ a sort.

8. Testing $\lambda\Upsilon\text{P}$ on the “Grundlagen”

- Statement: any logical framework claiming to support “propositions as types” must accept a translation of the “Grundlagen der Analysis”.
- Among the realistic fragments of math formalized with “propositions as types”, the “Grundlagen” does not need very expressive frameworks.
- We took the λProlog version of the “Grundlagen” for CC. We turned f.o. quantification to $(\Pi n : U)$ and h.o. quantification to $(\Upsilon u : H)$.
- Notice that by so doing, $(\Pi n : U)$ precedes $(\Upsilon u : H)$ in some cases.
- We implemented an efficient validator for $\lambda\Upsilon\text{P}$ in λProlog , which operates in the $\mathcal{L}_\lambda^\beta$ fragment and never unwinds its reduction machine.
- Typical runs of three validators on the ELPI engine (same hardware): lyp [$\lambda\Upsilon\text{P}$] (9.4s), Helena [$\approx \lambda\delta\text{-3}$] (35.7s), ALT-0/PTS [CC] (43.7s).
- The interactions of Υ and Π in $\lambda\Upsilon\text{P}$ should clarify the design of $\lambda\delta\text{-3}$.

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Thank you